

Fiscal Year 2021 FHWA and ITD Joint Risk Assessment



FY21 FHWA & ITD Joint Risk Assessment: Threats & Opportunities

Please take time out of your busy day to answer our joint FHWA and ITD Fiscal Year 2021 STIP Program Delivery Risk Assessment survey. We want to hear your top threats or opportunities that might lead to improving the work we do to efficiently deliver the program and projects within the Federal-aid program.

What do you see during a normal workday that poses a threat or opportunity to both our agencies? What ideas or opportunities for improvement can you offer, so that others can use them to improve what they do?

Risk is the effect of uncertainty on our Agency objectives. It can manifest as a “threat” (potential loss) or “opportunity” (potential gain). Once you identify a threat and/or opportunity we ask you to associate it with an Impact and Likelihood Criteria; thereby, helping us to gauge the severity of the impact and likelihood of the event occurring. Next identify the scope of the program or area of concern to provide us with context into the area of concern.

The intent of this assessment is not to highlight personnel or human resource issues, unless it directly relates to program and/or project delivery. If you do not work for FHWA or ITD, please answer these questions within the context of the work you do associated with the ITD and FHWA Federal-aid program, projects, and activities. Your feedback is crucial to us and essential to improve our overall Federal-aid business and outcomes. Thank you for your value-added input!

Estimated time of completion, 30 minutes. If you have questions call:

Amy Schroeder, ITD, 208-334-8206
Michael Caliendo, FHWA, 208-340-4776
Andrew Wasdhal, ITD, 208-334-8829
Monica Gourd, FHWA, 208-334-9180 x121

Threat #1: What is your #1 threat facing you in your daily program or project level work?

Threat#1: What mitigation measure should we consider for your identified #1 threat?

- Update manual or specification
- Streamline a process
- Provide training
- Provide mentoring
- Perform a program or process review to identify improvements
- Create a research project to study the issues
- Other (please specify)

Threat #1: What area(s) or program(s) is your #1 threat related to?

- | | | |
|---|---|--|
| <input type="checkbox"/> Bridge | <input type="checkbox"/> Innovation | <input type="checkbox"/> Quality |
| <input type="checkbox"/> Civil Rights | <input type="checkbox"/> Intelligent Transportation Systems (ITS) | <input type="checkbox"/> Right of Way |
| <input type="checkbox"/> Construction | <input type="checkbox"/> Local Public Agency (LPA) Projects | <input type="checkbox"/> Safety |
| <input type="checkbox"/> Design | <input type="checkbox"/> Maintenance | <input type="checkbox"/> Technology (ITD Requirements & Systems) |
| <input type="checkbox"/> Emergency Services | <input type="checkbox"/> Materials | <input type="checkbox"/> Traffic |
| <input type="checkbox"/> Environment | <input type="checkbox"/> Operations | <input type="checkbox"/> Transportation System Preservation |
| <input type="checkbox"/> Finance | <input type="checkbox"/> Pavement | |
| <input type="checkbox"/> Freight | <input type="checkbox"/> Planning | |
| <input type="checkbox"/> Other (please specify) | | |

Threat #1: Choose the Impact Criteria from the scale below for your #1 threat:

| | | | | | |
|-------------------|-----------------------|-----------------------|-----------------------|-----------------------|-----------------------|
| | Catastrophic | Major | Moderate | Minor | Insignificant |
| Impact Assessment | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |

Threat #1: Choose the Likelihood Criteria from the scale below for your #1 threat:

| | | | | |
|-----------------------|-----------------------|-----------------------|-----------------------|-----------------------|
| | Almost Certain | Likely | Possible | Unlikely |
| Likelihood Assessment | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |

Threat #1: Choose the Scope Criteria for your #1 threat from the choices below:

| | | | | |
|------------------|-----------------------|-----------------------|-----------------------|-----------------------|
| | Statewide | Program | District | Project |
| Scope Assessment | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |

Opportunity #1: Tell us about an idea you've had or that you've seen implemented or that you would like to see implemented so that others can take advantage of it to make improvements.

Opportunity #1: What area(s) or program(s) is your #1 opportunity related to?

- | | | |
|---|---|--|
| <input type="checkbox"/> Bridge | <input type="checkbox"/> Freight | <input type="checkbox"/> Pavement |
| <input type="checkbox"/> Civil Rights | <input type="checkbox"/> Innovation | <input type="checkbox"/> Planning |
| <input type="checkbox"/> Construction | <input type="checkbox"/> Intelligent Transportation Systems (ITS) | <input type="checkbox"/> Quality |
| <input type="checkbox"/> Customer Service | <input type="checkbox"/> Local Public Agency (LPA) Projects | <input type="checkbox"/> Right of Way |
| <input type="checkbox"/> Design | <input type="checkbox"/> Maintenance | <input type="checkbox"/> Safety |
| <input type="checkbox"/> Economic Opportunity | <input type="checkbox"/> Materials | <input type="checkbox"/> Technology (ITD Requirements & Systems) |
| <input type="checkbox"/> Environment | <input type="checkbox"/> Mobility | <input type="checkbox"/> Traffic |
| <input type="checkbox"/> Finance | <input type="checkbox"/> Operations | <input type="checkbox"/> Transportation System Preservation |
| <input type="checkbox"/> Other (please specify) | | |

Opportunity #1: Choose the Impact Criteria from the scale below for your #1 opportunity:

| | | | | |
|-----------------|-----------------------------|-----------------------|------------------------------|--------------------------|
| | Extremely Beneficial | Major Benefit | Moderately Beneficial | Mildly Beneficial |
| Impact Criteria | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |

Opportunity #1: Choose the Likelihood Criteria from the scale below for your #1 opportunity:

| | Almost Certain | Likely | Possible | Somewhat Possible |
|---------------------|-----------------------|-----------------------|-----------------------|-----------------------|
| Likelihood Criteria | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |

Opportunity #1: Choose the Scope Criteria for your #1 opportunity from the choices below:

| | Statewide | Program | District | Project |
|------------------|-----------------------|-----------------------|-----------------------|-----------------------|
| Scope Assessment | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |

Threat #2: What is your #2 threat facing you in your daily program or project level work?

Threat #2: What mitigation measure should we consider for your identified #2 threat?

- Update manual or specification
- Streamline a process
- Provide training
- Provide mentoring
- Perform a program or process review to identify improvements
- Create a research project to study the issues
- Other (please specify)

Threat #2: What area(s) or program(s) is your #2 top threat related to?

- | | | |
|---|---|--|
| <input type="checkbox"/> Bridge | <input type="checkbox"/> Innovation | <input type="checkbox"/> Quality |
| <input type="checkbox"/> Civil Rights | <input type="checkbox"/> Intelligent Transportation Systems (ITS) | <input type="checkbox"/> Right of Way |
| <input type="checkbox"/> Construction | <input type="checkbox"/> Local Public Agency (LPA) Projects | <input type="checkbox"/> Safety |
| <input type="checkbox"/> Design | <input type="checkbox"/> Maintenance | <input type="checkbox"/> Technology (ITD Requirements & Systems) |
| <input type="checkbox"/> Emergency Services | <input type="checkbox"/> Materials | <input type="checkbox"/> Traffic |
| <input type="checkbox"/> Environment | <input type="checkbox"/> Operations | <input type="checkbox"/> Transportation System Preservation |
| <input type="checkbox"/> Finance | <input type="checkbox"/> Pavement | |
| <input type="checkbox"/> Freight | <input type="checkbox"/> Planning | |
| <input type="checkbox"/> Other (please specify) | | |

Threat#2: Choose the Impact Criteria from the scale below for your #2 threat:

| | | | | | |
|-------------------|-----------------------|-----------------------|-----------------------|-----------------------|-----------------------|
| | Catastrophic | Major | Moderate | Minor | Insignificant |
| Impact Assessment | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |

Threat #2: Choose the Likelihood Criteria from the scale below for your #2 threat:

| | | | | |
|-----------------------|-----------------------|-----------------------|-----------------------|-----------------------|
| | Almost Certain | Likely | Possible | Unlikely |
| Likelihood Assessment | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |

Threat #2: Choose the Scope Criteria for your #2 threat from the choices below:

| | | | | |
|------------------|-----------------------|-----------------------|-----------------------|-----------------------|
| | Statewide | Program | District | Project |
| Scope Assessment | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |

Opportunity #2: Tell us about an idea you've had or that you've seen implemented or that you would like to see implemented so that others can take advantage of it to make improvements.

Opportunity #2: What area(s) or program(s) is your #2 opportunity related to?

- | | | |
|---|---|--|
| <input type="checkbox"/> Bridge | <input type="checkbox"/> Freight | <input type="checkbox"/> Planning |
| <input type="checkbox"/> Civil Rights | <input type="checkbox"/> Innovation | <input type="checkbox"/> Quality |
| <input type="checkbox"/> Construction | <input type="checkbox"/> ITS (Intelligent Transportation Systems) | <input type="checkbox"/> Right of Way |
| <input type="checkbox"/> Customer Service | <input type="checkbox"/> Local Public Agency (LPA) Projects | <input type="checkbox"/> Safety |
| <input type="checkbox"/> Design | <input type="checkbox"/> Maintenance | <input type="checkbox"/> Technology (ITD Requirements & Systems) |
| <input type="checkbox"/> Economic Opportunity | <input type="checkbox"/> Materials | <input type="checkbox"/> Traffic |
| <input type="checkbox"/> Emergency Services | <input type="checkbox"/> Mobility | <input type="checkbox"/> Transportation System Preservation |
| <input type="checkbox"/> Environment | <input type="checkbox"/> Operations | |
| <input type="checkbox"/> Finance | <input type="checkbox"/> Pavement | |
| <input type="checkbox"/> Other (please specify) | | |

Opportunity #2: Choose the Impact Criteria from the scale below for your #2 opportunity:

| | Extremely Beneficial | Major Benefit | Moderately Beneficial | Mildly Beneficial |
|-------------------|-----------------------|-----------------------|-----------------------|-----------------------|
| Impact Assessment | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |

Opportunity #2: Choose the Likelihood Criteria from the scale below for your #2 opportunity:

| | Almost Certain | Likely | Possible | Somewhat Possible |
|---------------------|-----------------------|-----------------------|-----------------------|-----------------------|
| Likelihood Criteria | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |

Opportunity #2: Choose the Scope Criteria for your #2 opportunity from the choices below:

| | Statewide | Program | District | Project |
|------------------|-----------------------|-----------------------|-----------------------|-----------------------|
| Scope Assessment | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |

Please provide any additional feedback about making your work more efficient and effective.

*** Where do you work?**

- Ada County Highway District
- Bannock Transportation Planning Organization
- Bonneville Metropolitan Planning Organization
- Community Planning Association of Southwest Idaho (COMPASS)
- Federal Highway Administration
- Idaho Transportation Department, District
- Idaho Transportation Department, Headquarters
- Kootenai Metropolitan Planning Organization
- Lewis-Clark Valley Metropolitan Planning Organization
- Local Highway Agency
- Local Highway Technical Assistance Council
- Other (please specify)

Please provide your contact information (not required and if provided, will not be shared beyond the Assessment Team):

| | |
|-----------------|---|
| Name | <input type="text"/> |
| Company | <input type="text"/> |
| Address | <input type="text"/> |
| Address 2 | <input type="text"/> |
| City/Town | <input type="text"/> |
| State/Province | <input type="text" value="-- select state --"/> |
| ZIP/Postal Code | <input type="text"/> |
| Country | <input type="text"/> |
| Email Address | <input type="text"/> |
| Phone Number | <input type="text"/> |